03-18-02

A

| UTILITY PATENT APPLICATION TRANSMITTAL | Attorney Docket No.: | SC11697TP |
|---|---|---|
| | First Inventor: | Rama I. Hegde et al. |
| | Title: | GATE DIELECTRIC AND METHOD THEREFOR |
| | Express Mail Label No.: | EL721537519US |

(Only for new nonprovisional applications under 37 CFR 1.53(b))

JC962 U.S. PTO 03/15/02

JC978 U.S. PTO 10/098706 03/15/02

**APPLICATION ELEMENTS**
(see MPEP chapter 600 concerning utility patent application contents)

**ADDRESS TO:** Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. [X] Fee Transmittal Form in duplicate
(Submit an original and a duplicate for fee processing)
2. [ ] Applicant claims small entity status
See 37 CFR 1.27
3. [X] Specification Total Pages [17]
(preferred arrangement set forth below)
-Descriptive title of the invention
-Cross Reference to Related Applications
-Statement Regarding Fed sponsored R&D
-Reference to sequence listing, a table,
-Background of the Invention
-Brief Summary of the Invention
-Brief Description of the Drawings (if filed
-Detailed Description
-Claim(s)
-Abstract of the Disclosure
4. [X] Drawing(s) Total Sheets [1]
5. Oath or Declaration
   a. [X] Newly executed (original or copy)
   b. [ ] Copy from a prior application (37 CFR 1.63(d)) (for continuation/ divisional with Box 18 completed)
      i. [ ] DELETION OF INVENTOR(S)
      Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. [ ] Application Data Sheet under 37 CFR 1.76
7. [ ] CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. [ ] Nucleotide and/or Amino Acid Sequence (if applicable, all necessary)
   a. [ ] Computer Readable Form (CFR)
   b. [ ] Specification Sequence Listing on:
      i. [ ] CD-ROM or CD-4 (2 copies);
      ii. [ ] or paper
   c. [ ] Statements verifying identify of above copies

**ACCOMPANYING APPLICATION PARTS**

9. [X] Assignment Papers (cover sheet & document(s))
10. [ ] 37 CFR 3.73(b) [ ] Power of Attorney Statement (when there is an assignee)
11. [ ] English Translation Document (if applicable)
12. [X] IDS [16] Copies of IDS Citations
13. [ ] Preliminary Amendment
14. [X] Return Receipt Postcard (MPEP 503)
15. [ ] Certified Copy of Priority Document
16. [ ] Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. [X] Other: Form/PTO/08 References/AA-AP

18. IF A CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:
[ ] Continuation [ ] Divisional [ ] Continuation-in- Part (CIP) Prior Appl. No.
Prior Appl. information: Examiner: Group/Art Unit:

For **CONTINUATION OR DIVISIONAL APPS** only The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

**19. CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label 23125 or ☐ Correspondence address below

| | | | | | |
|---|---|---|---|---|---|
| Name | | | | | |
| Address | | | | | |
| City | | State | | Zip Code | |
| Country | | Telephone | 512.996.6839 | Fax | 512.996.6854 |
| Name | James L. Clingan, Jr. | | Registration No. | | 30,163 |
| SIGNATURE | James L Clingan, Jr. | | Date | 3/15/02 | |

# FEE TRANSMITTAL

Patent fees are subject to annual revision

| | |
|---|---|
| TOTAL AMOUNT OF PAYMENT | ($)978.00 |

| Complete if Known | |
|---|---|
| Application Number | |
| Filing Date | Concurrently Herewith |
| First Named Inventor | Rama I. Hegde |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No. | SC11697TP |

## METHOD OF PAYMENT

1. [X] The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to

Deposit Account Number: 13-4773

Deposit Account Name: Motorola, Inc.

[X] Charge Any Additional Fee required under 37 CFR 1.16 and 1.17

[ ] Applicant claims small entity status. See 37 CFR 1.27

2. [ ] Payment Enclosed:

[ ] Check [ ] Credit Card [ ] Money Order [ ] Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee Code | Large Entity Fee ($) | Small Entity Fee Code | Small Entity Fee ($) | | Fee Paid |
|---|---|---|---|---|---|
| 101 | 740 | 201 | 370 | Utility filing fee | 740 |
| 106 | 330 | 206 | 165 | Design filing fee | |
| 107 | 510 | 207 | 255 | Plant filing fee | |
| 108 | 740 | 208 | 370 | Reissue filing fee | |
| 114 | 160 | 214 | 80 | Provisional filing fee | |

SUBTOTAL (1) ($)740.00

### 2. EXTRA CLAIM FEES

| | | | Previously Paid** | | Extra Claims | | Fee from below | | Fee Paid |
|---|---|---|---|---|---|---|---|---|---|
| Total Claims | 31 | - | 20 | = | 11 | X | 18 | = | 198 |
| Independent Claims | 3 | - | 3 | = | 0 | X | 84 | = | 0 |
| Multiple Dependent | | | | | | | 280 | = | 0 |

| Large Entity Fee Code | Large Entity Fee ($) | Small Entity Fee Code | Small Entity Fee ($) | Fee Description |
|---|---|---|---|---|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid |
| 109 | 84 | 209 | 42 | * Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | *Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) ($)198.00

**OR NUMBER PREVIOUSLY PAID, IF GREATER THAN STANDARD ALLOWANCE.
*For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee Code | Large Entity Fee ($) | Small Entity Fee Code | Small Entity Fee ($) | Fee Description | |
|---|---|---|---|---|---|
| 105 | 130 | 205 | 65 | Surcharge – late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge – late Provisional filing | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2520 | 147 | 2520 | For filing a request for ex parte Reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1840* | 113 | 1840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 400 | 216 | 200 | Extension for reply within second month | |
| 117 | 920 | 217 | 460 | Extension for reply within third month | |
| 118 | 1440 | 218 | 720 | Extension for reply within fourth month | |
| 128 | 1960 | 228 | 980 | Extension for reply within fifth month | |
| 119 | 320 | 219 | 160 | Notice of Appeal | |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 | 140 | Request for oral hearing | |
| 138 | 1510 | 138 | 1510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1280 | 241 | 640 | Petition to revive - unintentional | |
| 142 | 1280 | 242 | 640 | Utility issue fee (or reissue) | |
| 143 | 460 | 243 | 230 | Design issue fee | |
| 144 | 620 | 244 | 310 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 | 126 | 180 | Submission of IDS | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | 40 |
| 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |

Other fee (specify)

* Reduced by Basic Filing Fee paid SUBTOTAL (3) ($)40.00

## SUBMITTED BY

Complete (if applicable)

| | | | | | |
|---|---|---|---|---|---|
| Name (Print/Type) | James L. Clingan, Jr. | Registration No. | 30,163 | Telephone | 512.996.6839 |
| Signature | James L. Clingan, Jr. | Date | 3/15/02 | | |